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**Doctoral degree regulations of the Faculty of Humanities
at the Georg-August-Universität Göttingen**

I. Miscellaneous

§ 1 Scope, purpose of the doctoral examination

(1) ¹This regulation governs the implementation of the doctoral procedures at the Georg-August-Universität Göttingen in the research areas represented at the Faculty of Humanities and adjacent research areas as defined in Appendix I, as well as in the structured doctoral programmes and doctoral degree programmes (referred to jointly in the following as programmes) as defined in Appendix Ia, including the award and withdrawal of the doctoral degree. ²The specialist regulations pertaining to a subject in a programme shall apply additionally. They are defined in the appendixes or, alternatively, in the supplementary regulations applicable to the programmes (in the following: programme regulations), or in the digital module catalogues published separately. ³Contrary or deviating, specialist regulations shall be void unless this regulation specifically permits deviations.

(2) ¹By completing the doctoral examination, the candidate proves his or her ability to autonomously design and carry out substantial research projects with scientific integrity, the ability to independently identify scientific issues and to develop new ideas based on individual critical analysis, and thereby to contribute to scientific advancement. ²He or she possesses a systematic understanding of his or her research area and any related research areas, as well as extensive knowledge of the relevant literature. ³Upon submission of a scientific dissertation, he or she makes a personal contribution to research that serves to expand the horizons of knowledge and that stands up to critical appraisal by the scientific community. ⁴With this dissertation, he or she demonstrates the ability to discuss insights from a specialist field with other researchers, to analyse their requirements and to develop them productively further.

(3) Furthermore, this regulation provides for the award of the academic title as honorary doctor of philosophy (*Doctor philosophiae honoris causa*) at the Georg-August-Universität Göttingen.

§ 2 University degree

(1) Georg-August-Universität Göttingen awards the title of "Doktorin der Philosophie" or "Doktor der Philosophie" (*Doctor philosophiae*, in short "Dr. phil.").

(2) Upon application by the doctoral candidate, the title of "Doctor of Philosophy (Ph.D.)" may be awarded in place of "Doktorin der Philosophie" or "Doktor der Philosophie".

(3) The titles as defined under sections 1 and 2 may only be acquired by completing doctoral studies in accordance with the terms of this regulation.

(4) The honorary title of doctor of philosophy can also be awarded following extraordinary doctoral studies.

§ 3 Doctoral committee; examination office

(1) ¹The Faculty of Humanities shall convene a doctoral committee in order to organise and assure the quality of all tasks assigned in this regulation. ²In addition to the dean of studies, this committee shall comprise four members of the professoral group, two postdoctorate research assistants, and two doctoral candidates. ³One member of the professoral group should belong to the management board of the Graduate School of Humanities Göttingen (GSGG). ⁴The respective group representatives in the faculty council of the Faculty of Humanities shall appoint the members for two years respectively; the doctoral candidates shall be appointed for one year; a deputy must also be appointed for each member. ⁵In the event that a member shall depart prematurely, a successor shall be appointed for the remaining period of office.

(2) The dean of studies shall chair the doctoral committee. The doctoral committee shall select from its members of the professoral group a deputy chairperson.

(3) The doctoral committee supports the dean of studies in doctoral matters and reports to the faculty council regularly on the finished and on-going procedures.

(4) ¹The doctoral committee does not meet in public. ²The members are bound to professional non-disclosure. ³Unless they are in public service, they are to be obligated to discretion by the chairperson.

(5) ¹The doctoral committee decides by simple majority; resolutions are not passed if there is a tied vote. ²The doctoral committee shall have a quorum insofar as the majority of its members, including the majority of members from the professoral group, are in attendance. ³Doctoral candidates have only an advisory vote in the assessment and transfer of credits of study and examination components. If the dean of studies belongs to a group of professors, he or she is included in this group in view of this assessment as well.

(6) ¹The doctoral committee may, until further notice, transfer powers as concerns matters of ongoing management to the dean of studies. This shall not apply to rulings on objections. ²Minutes are kept of the meetings of the doctoral committee. ³They shall state the main topics under discussion and the resolutions taken by the doctoral committee.

(7) ¹Decisions by the doctoral committee must be communicated to the person affected without delay and in writing. ²Negative decisions should be communicated in writing with reasons and instruction on legal recourse.

(8) ¹The examination office organises the examination process according to regulations set forth by the doctoral committee and the dean of studies. ²The examination office keeps the examination records. ³One member of the examination office is entitled to attend the meetings of the doctoral committee, acting in an advisory capacity.

II. Acceptance as a doctoral candidate; admission; supervision; doctoral studies

§ 4 Requirements for acceptance as a doctoral candidate

(1) ¹Applicants must submit suitable degree certificates as proof of successful completion of at least a Master, Diplom or Magister degree programme, an equivalent degree programme leading to a State Examination, or an equivalent degree programme at a university belonging to a Bologna signatory state. ²The standard course length of the prior degree programme must be at least eight semesters. A consecutive Master degree programme or equivalent degree programme must last at least one year and produce an aggregate course length of no less than eight semesters. ³Final examinations, which have been passed in a country outside the Bologna signatory states, require the determination of equivalence with the qualifications according to sentence 1, taking into account the proposals of the Central Office for Foreign Education (ZAB) at the secretarial office of the Standing Conference of the Ministers of Education and Cultural Affairs of the Federal States in the Federal Republic of Germany (KMK)

for the recognition and evaluation of foreign educational certificates, which are located at the URL <http://anabin.kmk.org>.⁴The grades of the foreign degree certificates shall be converted to the German grading system. ⁵The doctoral committee shall be responsible for the assessment of degree equivalence as specified in sentence 1. ⁶The previously completed academic programme must be in a subject that is relevant to the programme of the doctoral project. ⁷The doctoral committee shall rule on whether the previous academic programme is relevant as set forth in Appendix I. ⁸Insofar as the doctoral committee imposes pertinent conditions, any positive ruling on the subject relevance of the previous academic programme and the acceptance of the doctoral candidate shall be conditional until evidence is provided by the candidate on academic or examination achievements in the previous academic programme. This must be submitted within two semesters following acceptance. ⁹Confirmation of subject relevance shall not be possible if the scope of pending examination components have a rating of more than 15 credits (ECTS credits).

(2) ¹Persons providing evidence of a Master degree or an equivalent degree according to section 1 with a grade point average of at least 2.0 (good) shall have the authorisation for admission. ²The entrance requirement is also satisfied by those who hold a Master degree or an equivalent degree according to section 1, as well as the particular suitability for doctoral studies in the selected research area. ³Particular suitability is proven in this case by:

- a) an assessment of the thesis in the relevant prior degree course of at least very good (1.5),
- b) in the event of prior degree course covering several research areas an average assessment of the examination and course prerequisites attributable to the research area selected for doctoral studies (weighted according to credits) of at least very good (1.5), or
- c) in the event of a Master degree or an equivalent degree according to section 1, with a final assessment of at least satisfactory (3,0) by submission of written documents indicating qualifications that confirm a special suitability. Decisions on special suitability shall be made by the doctoral committee based on a technical appraisal provided by a university professor.

(3) ¹Moreover, a further requirement shall be a written declaration

- a) by an authorised examiner to provide suitable support in the selected research area in the event of a successful acceptance of an applicant as a doctoral candidate and furthermore to provide proper supervision (confirmation of supervision), also
- b) by at least one other scientist holding a doctoral degree that he or she is willing to sit on the thesis committee;

the requirement as set forth in letter b) must be satisfied within three months following acceptance as a doctoral candidate insofar as the candidate was not yet enrolled at the University of Göttingen.

²Furthermore, eligibility for admission shall only exist if

- a) no agent was appointed for locating doctoral opportunities subject to a fee,

- b) in the context of the doctoral process and its preparation, fees have not been paid, nor charged credits obtained nor have services that are contrary to the meaning and purpose of an examination procedure been claimed free of charge,
- c) the right to examination still exists,
- d) there are no reasons that may give due cause for withdrawal of the doctoral degree, and this is declared by the applicant.

(4) ¹Applicants holding neither a German university entrance qualification, nor a Bachelor or Master degree or an equivalent degree from a German institution of higher education, must be adequately proficient in the German language. ²Proof hereof is demonstrated by means of a test with the grade point average DSH-2 in accordance with the examination regulation for the German language test for the admission of foreign candidates to colleges and universities (DSH). ³The obligation to take the test need not be met by applicants who are exempted from the German language test for the admission to colleges and universities according to the examination regulations for the German language test for the admission of foreign candidates to colleges and universities (DSH). This applies especially to those applicants who have proven the required German language skill through the successful “Test of German as a Foreign Language” (TestDaF) with at least four times TestDaF grade level 4 (TDN 4) or the “Examination part German” of the assessment test at the preparatory college [Studienkolleg].

(5) The provisions set forth in Appendix I to this regulation specify the research areas in which the applicant must, as an entrance requirement, demonstrate language skills and other qualifications in addition to, or other than, those prerequisites set forth in the provisions stated under section 4.

(6) The doctoral committee shall decide on exceptions to sections 4 and 5 upon application by the applicant in consultation with the authorised examiner who provided confirmation of supervision according to section 3; exceptions shall be possible in particular if the intended research project can most likely be managed and the doctoral examination completed successfully even without the corresponding expertise.

§ 5 Submission of the application for acceptance

(1) ¹The application for acceptance as a doctoral candidate must be addressed to the examination office of the Faculty of Humanities together with the documents listed under section 2. ²The university is not obliged to review the details of the applicants as part of its required duties.

(2) The following documents must be enclosed with the personally signed application:

- a) the degree certificate(s) of the applicant, including a transcript of the applicant's record in the form of attested copies or certified German or English translations if the originals are not in English or German. If a degree certificate has not yet been received, a certificate of the completion of studies, the acquired examination-related achievements, the credits and the grade point average should be submitted;
- b) a personal data sheet written in German or English with a meaningful description of the educational career;
- c) one copy in an electronic form of the written thesis of the applicant who has successfully completed Master degree programmes or comparable degree programmes;
- d) proof of sufficient knowledge of the German language and, if necessary, additional qualifications for entry as stated in Appendix I;
- e) statement of the selected research area and the preliminary working title of the thesis;
- f) a declaration on whether the applicant has successfully/unsuccessfully completed a doctoral process in the same discipline or has not completed it yet;
- g) declarations according to § 4 section 3 sentence 1 letters a) and b);
- h) an assurance according to § 4 section 3 sentence 2 as defined in Appendix II.

(3) ¹The doctoral committee is responsible for reviewing the application documents and, if relevant, also for an assessment and confirmation of qualifications for entry. ²It determines the eligibility for admission and issues acceptance as a doctoral candidate.

§ 6 Thesis committee

(1) ¹The management board of the Graduate School of Humanities Göttingen (GSGG) shall, after consultation with the doctoral candidate, appoint a thesis committee upon admission of the doctoral candidate or no later than 3 months thereafter. This thesis committee shall comprise at least two members, and, in the case of admission into a doctoral programme, at least three members, including the authorised examiner and first academic advisor, as well as the academic as set forth in § 4 section 3 sentence 1 letter b). ²All members of the thesis committee must hold a doctorate. The members of the thesis committee who are authorised examiners must not be in a dependent relationship to each other.

(2) ¹The thesis committee provides support and assistance to the doctoral candidate. ²The candidate must provide the thesis committee with a comprehensive, regular report, at least once every year, on progress in the doctoral project, and must also report on academic achievements and examination prerequisites completed to date.

(3) ¹The members of the thesis committee and the doctoral candidate shall conclude a doctoral contract within three months following appointment of the thesis committee. ²The doctoral contract must contain at least the details listed in Appendix III. ³The management board at GSGG is entitled to order modification of the legal statutes applicable to the doctoral contract; the doctoral committee shall rule in the event of any disagreement. ⁴The doctoral candidate must submit to the thesis committee a preliminary schedule for the doctoral project by no later than upon conclusion of the supervision agreement.

(4) ¹The management board at GSGG may make changes to the membership of the thesis committee, subject to application by a member of the thesis committee or the doctoral candidate. ²A change in the first academic advisor is possible only if the assistance for the doctoral studies is no longer guaranteed for good reason, in particular, due to his or her prolonged absence, or if continuation of support cannot be reasonably expected, especially due to a breakdown in mutual trust for reasons the doctoral candidate is not responsible for. ³In the event of conflict between the academic advisor and the doctoral candidate, there should be an initial attempt by a confidential contact at GSGG to mediate between the parties.

§ 7 Scope and nature of the doctoral studies; deception; termination for an important reason

(1) ¹The doctoral candidate is required to complete his or her scientific research over the course of their doctoral studies. ²The working topic for the research must be agreed between the first academic advisor and the doctoral candidate before the start of the doctoral project. ³Insofar as the doctoral candidate is also admitted to a programme (e.g., doctoral programme, research training group), he or she shall be required furthermore to successfully complete the specific examination prerequisites required in the programme. ⁴The doctoral candidate is required to adhere to ethical scientific standards and must, in particular, comply with the regulations at the Georg-August-Universität Göttingen concerning good scientific practice in their latest version.

(1a) For the structured doctoral degree programmes at the Faculty of Humanities, modules in a scope totalling at least 24 credits (hereafter indicated by C) in accordance with Appendix Ia and the module catalogue, which is published separately, must be completed successfully.

(1b) In the event that performances according to section 1 sentence 3 or section 1a are not provided due to reasons for which the doctoral student does not bear any responsibility, or if the provision of said performances shall be associated with undue severity, the doctoral

committee may forego the demand to provide proof of individual performances by no later than admission to the doctoral examination, subject to application by the doctoral student.

(2) The doctoral candidates are entitled to complete additional, freely electable components in addition to the minimum required examination prerequisites (if any), in particular, those provided by the Graduate School of Humanities Göttingen (GSGG).

(3) ¹Examination prerequisites and other academic achievements completed on the basis of an agreement between the University of Göttingen, the doctoral candidate and a different university shall be credited without any assessment of equivalence. ²Any examination prerequisites and other academic achievements completed within or outside a university shall otherwise be recognised, provided that equivalence is ascertained. ³The doctoral candidate is responsible for submission of the documents required for these credits. ⁴Examination prerequisites shall not be recognised in the event that they were completed in the degree programme or in the consecutive degree programmes whose completion provided the basis for entry into the doctoral degree programme and which were necessary in order to complete said degree programme or degree programmes. ⁵The university is required to provide reasoning for any decision not to recognise academic achievements (reversal of the burden of proof as specified in the Lisbon Recognition Convention). ⁶The doctoral committee decides on the transfer of credits.

(4) ¹The research and the oral examination must be completed no later than 6 years following admission of the doctoral candidate. ²Any additional extension must be approved by the thesis committee. ³Insofar as the thesis committee does not agree to the extension, the doctoral committee shall make its decision based on a statement by the thesis committee on the end of the doctoral studies as defined in section 7. A new thesis committee must be appointed if the doctoral studies are not ended.

(5) ¹The doctoral candidate must be enrolled for the entire period according to section 4. ²Contrary to sentence 1, an exemption from the enrolment requirement may be granted in individual cases for substantial material reasons. The doctoral committee will decide in this respect. ³Sentence 2 does not apply to doctoral degree programmes.

(6) ¹Should the doctoral candidate undertake by means of deception or the use of unauthorised aids or by the subsequent exertion of influence on a person involved in the examination to distort the results of examination prerequisites to his or her own benefit or to the benefit of a third party (hereafter in general: deception), the examination prerequisites in question shall be assessed as 'failed'. ²From the consequences mentioned in sentence 1 it can also be

recognised whether the doctoral candidate carries any prohibited aids with himself or herself. ³The examiner or adjudicator in question can exclude any doctoral candidate who grossly violates conduct from continuing the particular examination prerequisite. In this case, the examination prerequisites in question shall be assessed as 'failed'. ⁴In the event of severe cases, the doctoral committee is entitled to exclude the doctoral candidate from the completion of any further examination components or prerequisites referring to the doctoral process. ⁵A decision may be made to refrain from imposing sanctions in less serious cases. ⁶If there is suspicion of the use of prohibited aids, the doctoral candidate is obligated to assist in the clarification and return the aids. Should this be refused, the relevant examination prerequisites are assessed as "failed". ⁷Before any decision is made under sentence 4, the doctoral candidate must be heard.

(7) ¹The doctoral studies end with

- a) revocation or withdrawal of admission, acceptance of the doctoral candidate or admission to a programme, or
- b) cancellation or lapse of the doctoral candidate relationship.

²Withdrawal, revocation or termination may take place for good cause; the doctoral committee is responsible in this respect. ³In general, good cause is considered satisfied if the doctoral candidate

- a) proves consistently unsuitable despite sufficient supervision,
- b) fails repeatedly or to a significant extent in fulfilling the tasks with which he or she has been charged, in particular, if he or she repeatedly and despite caution violates his or her reporting duties,
- c) has breached the regulation to ensure good scientific practice,
- d) if his or her acceptance as doctoral candidate in the programme was acquired wrongfully by means of deception as concerns provision of the qualifications for entry,
or
- e) if the relationship of trust with the doctoral candidate is irretrievably broken for reasons for which the doctoral candidate must carry responsibility.

⁴The doctoral studies end with a declaration from the doctoral candidate upon effective exmatriculation, and, in the case of an exception as set forth in section 5 sentence 2, with the receipt of the declaration.

III. Doctoral examination; eligibility to sit the examination, requirements and procedure

§ 8 Doctoral examination

(1) The degrees to be awarded under § 2 sections 1 and 2 are awarded on the basis of a successful doctoral examination.

(2) The doctoral exam includes a scientific treatise (thesis) and an oral exam (oral defence or doctoral viva).

(3) The doctoral committee shall suspend the doctoral process in the event that it becomes aware that proceedings are pending against the doctoral candidate concerning a breach of good scientific practice or that a judicial inquiry or criminal proceedings are pending in connection with the doctoral studies.

(4) ¹Should the doctoral candidate undertake to influence the results of the examination by means of deceit, in particular through the use of unauthorised aids in producing the dissertation or by retroactive exertion of influence on a person involved in the examination, the examination components in question shall be assessed as 'failed'. ²Should the doctoral candidate grossly violate the regulations, the doctoral committee may decide on termination of the oral examination; in this case the oral examination shall be assessed as 'failed'. ³The doctoral committee is entitled in serious cases to exclude the doctoral candidate from the completion of any further examination components. Any breach of § 9 section 3 sentence 1 letter a) in particular shall be deemed a serious breach. ⁴A decision may be made to refrain from imposing sanctions in less serious cases. ⁵Before any decision is made under sentence 3, the doctoral candidate must be heard.

§ 9 Requirements for admission to the doctoral examination

(1) Admission to the doctoral examination has the prerequisite that the applicant

- a) is enrolled as doctoral student at Georg-August-Universität Göttingen in accordance with the specifications defined in the statutory provisions,
- b) has, if required, properly completed the doctoral studies according to § 7, and
- c) has independently prepared a written academic paper (dissertation) and declares in lieu of an oath,
 - ca) that he or she completed this thesis independently and that he or she did not use any other sources or resources than those stated, and

- cb) no equivalent doctoral studies have been applied for elsewhere, and that the proposed thesis or parts thereof have not been submitted elsewhere.

(2) Admission to the doctoral examination must be refused, or the admission suspended at any time, insofar as it was simultaneously applied for with a different faculty or at a different university.

(3) ¹A Person shall be ineligible for admission to the doctoral examination if he or she

- a) has not prepared parts of the dissertation by himself or herself, but has sought the help of a third party in a manner violating examination regulations and scientific integrity, which includes strict compliance with the quotation requirement, so that inclusion of external ideas in the dissertation is clearly marked, as well as the safeguarding of strict integrity with regard to contributions of other persons;
- b) has used the services of a paid agent for the purpose of obtaining information on doctorate opportunities;
- c) in the context of the doctoral process and its preparation have pays fees or provides or obtains paid or unpaid services that are contrary to the meaning and purpose of an examination procedure;
- d) satisfies requirements for which the doctoral examination might be declared void or the doctoral degree withdrawn.

²In these cases, reapplication for permission to take the doctoral exam is not permitted.

³Admission to the doctoral examination can, at any time, be withdrawn or revoked in the event of cases covered under sentence 1.

§ 10 Application for admission to the doctoral examination

(1) After completion of the thesis, a written application for admission to the doctoral examination and commencement of the doctoral process must be submitted to the doctoral committee.

(2) The following should be enclosed with the application:

- a) at least three copies of the scientific treatise (thesis) in a written form, one copy in a digital form in the format of a standard word processing programme or as a PDF document (that permits extraction of the text) and any published manuscripts by the applicant connected to the thesis in a written or digital form; the doctoral candidate must bindingly confirm that the contents of the digital version are identical with the written scientific treatise;

- b) specification of the academic title to be awarded in accordance with § 2;
- c) a resume that is written in German or English and also furnishes information on the scientific progress of the applicant;
- d) an assurance as specified under § 9 section 1 letter c).
- e) a proposal for the reviewers of the thesis and the other members of the examination board;
- f) a declaration of whether the oral examination will be conducted as an oral defence or an doctoral viva and whether it will be held in German or in a different language permissible for the research area of the thesis;
- g) a clearance certificate from the German Federal Register of Criminal and Court Records that must not be older than three months;
- h) the proof of proper enrolment,
- i) if necessary, proof of the successfully completed doctoral exam according to § 7 section 1 sentence 3, section 1a.

(3) ¹After submission of the application and examination of the formal requirements, the doctoral committee decides on the admission to take the doctoral examination. ²Once all the requirements have been satisfied, it shall thereupon open the examination process and shall appoint the examination board and its chairperson. ²As a result of admission to the doctoral examination, the doctoral candidate acquires the rightful claim to a review of his or her thesis.

(4) The applicant receives a written notice regarding admission or rejection. Information on legal remedies shall be enclosed with any rejection notice.

(5) ¹It is permitted to withdraw an application for admission to the doctoral examination, as long as a decision on acceptance or rejection of a thesis is pending. ²An attempt to take the doctoral examination does not count as 'taken' in case of a legal withdrawal.

§ 11 Thesis

(1) The topic of the thesis must be in a research area in which the doctoral candidate was admitted or in the field of the programme for which the admission has been provided.

(2) ¹The thesis must be an independent academic performance by the doctoral candidate, and must represent a substantial and innovative contribution to the advancement of scientific knowledge. ²It must demonstrate that the doctoral candidate possesses the capability to independently apply flawless methodologies to resolve scientific issues in the selected

research area and to present the results clearly and in a manner typical of the research area.

³In addition to the written presentation, the thesis may also consist of a film or a different audiovisual media format, provided this is included for the research area in Appendix I. ⁴The thesis must not have been or be used for any other doctoral process or comparable procedure at a university in Germany or abroad, unless otherwise specified in these regulations. ⁵Sentence 4 applies accordingly to use within the context of other, individual examination components, in particular, a Master, Magister or Diplom thesis, unless section 4 sentence 2 specifies a different procedure.

(3) ¹As a rule, the thesis must be written in German or English. ²A cover page according to the template provided in Appendix IV and a brief curriculum vitae detailing the scientific education must be included. ³Contrary to sentence 1, the thesis may also be written in a language approved for the research area according to Appendix 1. ⁴The doctoral committee decides on exceptions upon application by the doctoral candidate; the application can be rejected without statement of reasons. ⁵All members of the examination board must have a sufficient command of the examination language. ⁶A German-language summary must be enclosed if the thesis is not written in German; it must state the key lines of argument contained in each section.

(4) ¹Results that are already published can be incorporated in the thesis, provided in an aggregate sense they do not account for more than 50 per cent of the overall scope of the thesis; they must be annotated according to good scientific practice. ²Results of other examination components produced by the doctoral candidate may be used up to an aggregate amount of one third of the total scope of the thesis; they must be annotated according to good scientific practice.

(5) ¹A joint piece of work can be recognised as an independent academic performance if the contribution made by the doctoral candidate can be irrefutably delimited as an individual performance suitable of assessment, and provided the joint work permits a methodically meaningful enlargement on the treatment of the selected topic and justified reasons are provided. ²Each contribution is to be separately assessed as a thesis.

(6) ¹Submission of at least three thematically independent scientific contributions belonging to the same research area that were accepted or considered capable for publication according to an external scientific review procedure can also apply as a thesis, provided this is governed for a research area by Appendix I. ²The evaluator shall decide on suitability for publication in this case. ³For at least one of the contributions, the doctoral candidate should be the sole author. ⁴Depending on the number of contributions drafted by co-authors, the number of required contributions according to sentence 1 may rise. ⁵Depending on the quality of the

contributions, the requirement for sole authorship of a contribution may be released. ⁶The doctoral committee decides on this in consultation with the thesis committee. ⁷For a publication with several authors, it should be possible to clearly delimit and assess the contributions of each doctoral candidate. A declaration confirming the contribution made by the candidate must be submitted in this respect. ⁸Publications should be supplemented by a plausible introduction to the scientific questions underlying the publications, as well as a summary, in which the applicant's own results are classified in the professional context, as well as a list of references. ⁹The cumulative thesis should be presented in a bound form. § 10 section 2 letter a) applies accordingly. ¹⁰In the event that individual contributions are not yet published, the submission of a letter by the publishing house, which confirms acceptance of the contribution for publication and essential compliance to the submitted version with regard to content, is also sufficient for meeting the obligation for publication under § 24 section 1. ¹¹This is without prejudice to the right to publish the cumulative thesis as a whole in accordance with § 24 section 3.

(7) The regulations as set forth in section 2 sentence 3, section 3 sentence 3, and section 6 sentence 1 in Appendix I also apply to doctoral programmes if the thesis is written in the field of the respective research area.

§ 12 Evaluators; examination board

(1) ¹The doctoral committee appoints two evaluators to assess the thesis. They must be authorised examiners, and at least one member must sit on the thesis committee, in most cases, the first academic advisor. ²In exceptional cases, the doctoral committee shall name additional reviewers, especially for interdisciplinary or interfaculty work.

(2) ¹Besides the evaluators according to section 1, the examination board shall consist of the members of the thesis committee who are authorised examiners and may include other members who are authorised examiners. ²It has at least three members. ³At least two members must be authorised examiners in the research area of the thesis or in closely related research areas. Authorisation to adjudicate examinations in other research areas of the humanities shall otherwise be sufficient, provided the person is in possession of the necessary expertise to adjudicate in the specific examinations. ⁴The doctoral committee appoints the members, including the chairperson. ⁵The doctoral candidate has the right to make proposals, but this shall not constitute a legal entitlement.

(3) ¹Retired professors or professors granted leave of access may not act as evaluators or examiners in the doctoral process for a period longer than three years after the end of their tenure. ²The doctoral committee will decide on exceptions upon receipt of an application in this respect. Exceptions should be approved, in particular, if the professor continues to engage in research or teaching.

(4) In the event that additional evaluators or examiners are appointed over the course of the examination process, they shall also be appointed members of the Examination Board as specified under the provisions of this regulation.

(5) Abstentions are not permitted in rulings by the examination board.

§ 13 Authorisation to take the examination

(1) All scientists holding a doctorate may be appointed authorised examiners, provided they

1. are involved in duties relating to research or research and teaching at a university or an extramural research institution, and
2. either hold the legal right to oversee doctoral studies in a research area of the humanities or have in another way proven their suitability as authorised examiners; in particular, this proof shall require that the following has been completed in a field of the humanities
 - a) a habilitation or professorial appointments procedure was successfully completed, or
 - b) a procedure equivalent to a habilitation or professorial appointments procedure was completed.

(2) ¹The responsibility for appointments as authorised examiners in accordance with section 1 is within the remit of the faculty council. ²Examination authorisation can be granted for one or several research areas.

(3) ¹Unlike in sections 1 and 2, the doctoral committee can, in exceptional cases, grant examination authorisation restricted to a certain doctoral process (individual examination authorisation) to a person holding a doctoral degree, provided their participation in the doctoral examination is necessary or advantageous, e.g., for interdisciplinary research projects, or research projects across several locations. ²Insofar as the person has not completed at least a habilitation or a procedure equivalent to a professorial appointments procedure, an

appointment as set forth in sentence 1 shall be permitted only with approval of the management board at the institution responsible for the research area.

§ 14 Acceptance or rejection and assessment of the thesis

(1) ¹Each evaluator should produce an assessment of the thesis within three months after filing the thesis, in which they propose:

- a) to accept the thesis,
- b) to reject the thesis or
- c) to return the thesis for revision, if he or she would recommend a rejection otherwise.

²Each evaluator should produce a written review on the thesis within six months of its submission; this review shall provide reasoning for the proposal according to sentence 1.

(2) An evaluator is entitled to use suitable software, in particular, plagiarism detection software, to determine whether the thesis submitted was completed independently and without unauthorised assistance and, in particular, to determine whether any text passages or other sources were incorporated without annotation.

(3) In the event that acceptance of the thesis is recommended, the review as described under section 1 sentence 2 should also recommend a grade:

- a) summa cum laude (with distinction)
- b) magna cum laude (very good)
- c) cum laude (good)
- d) rite (satisfactory).

(4) If all evaluators agree on acceptance or rejection of the thesis, it is accepted or rejected, subject to an appeal as stated under § 15 section 2.

(5) ¹Insofar as the evaluators do not agree on acceptance or rejection, or insofar as their assessments deviate by more than one grade, the examination board will, upon consultation of a further review, make a final decision on acceptance and grade, rejection or return of the thesis for revision. ²The additional evaluator becomes a member of the examination board. The additional review is to be treated in the same manner as the reviews previously carried out. ³The examination board shall otherwise decide on the acceptance and grade, rejection or return of the thesis for revision based on the assessments on hand, especially in the case of an objection in accordance with § 15 section 2. ⁴The assessment “summa cum laude” may be

awarded only if it is recommended unanimously by the evaluators. ⁵Objections according to § 15 section 2 must be given appropriate consideration.

(6) ¹The examination board must set an appropriate deadline for completion of the revision. ²If a thesis returned for revision is not submitted once more within this deadline, it is regarded as rejected. ³In the event that the thesis is submitted within the specified deadline, the further procedure will be decided based on sections 1 to 5. However, the thesis may not be returned for revision on another occasion.

(7) ¹In the case of rejection of the thesis, reapplication for permission to take the doctoral examination is possible only once and not before the end of a year. ²In this case, a new or considerably improved dissertation should be submitted. ³In doing this, the unsuccessful attempt should be notified. ⁴If this dissertation is also rejected, then the doctoral examination is definitively failed.

(8) ¹The examination office informs the doctoral candidate in writing of the decision to accept or reject the thesis. ²Acceptance of the thesis shall also constitute admission to the oral defence. ³In the event of rejection on the first occasion, notification will be provided with reference to the terms of section 6 concerning the option to resubmit the proposal. Instructions on the rights of legal recourse will be provided with a notice of rejection.

§ 15 Review

(1) After receipt of the reviews and assessments in accordance with § 14 sections 1 and 3, the examination office will send to the authorised examiners who are members or fellows of the Faculty of Humanities notification of the received reviews and will therein set a deadline of at least ten working days for a confidential inspection of the reviews and the thesis.

(2) The doctoral committee may appoint a further evaluator for the thesis insofar as an authorised examiner raises written and reasoned objections to the proposed acceptance or rejection of a thesis or its grade.

(3) The additional reviewer becomes member of the examination board; the additional review is to be treated in the same manner as the already carried out reviews.

§ 16 Reference copy

At least one submitted copy of the thesis containing all reviews remains in the files of the examination office.

§ 17 Form of the oral examination

(1) ¹The oral examination can be conducted as an oral defence (disputation) or a doctoral viva (rigorosum) depending on the preference of the doctoral candidate. ²The first academic advisor should advise the doctoral candidate on the selection of the form for the oral examination.

(2) ¹The examination language is German or English. This also applies to doctoral programmes if the oral examination is completed in a field of the respective research area. ²Contrary to sentence 1, the oral examination may also be taken in a different language approved for the research area according to Appendix 1. ³The doctoral committee decides on exceptions upon application by the doctoral candidate; the application can be rejected without statement of reasons. ⁴All members of the examination board must have a sufficient command of the examination language.

(3) ¹The date of the oral examination will be scheduled by the examination office following receipt of all reviews and following the decision on acceptance of the thesis. The doctoral candidate will receive a notification in text form at least one week in advance of the scheduled date. ²The oral examination should not be later than six months after admission to take the doctoral examination. ³Deviating from sentences 1 and 2, as well as § 14 section 8 sentence 2, the oral examination can be held, upon application by the doctoral candidate, before the end of the thesis consultation period according to § 15 section 1, if there are otherwise reasons to fear a substantial delay in the examination procedure. In this case, admission to the oral examination shall be granted, conditional upon an objection according to § 15 section 2. ⁴Insofar as the thesis is rejected following the receipt of an objection according to § 15 section 2, any oral examination as set forth in sentence 3 shall be considered void.

(4) ¹Minutes must be taken on the progression of the oral defence. It must be signed by the attending members of the examination board. ²The examination board can call on an assessor holding a doctoral degree to produce the minutes.

§ 18 Disputation (oral defence)

(1) The oral defence is intended that the candidate may prove that he or she is familiar with the state of current research in the research area of the thesis and that the candidate has fundamental specialised knowledge even beyond the specific research area contained in the thesis and that the candidate is equipped to independently consider scientific problems and to present and defend them in argument.

(2) ¹The oral defence consists of two parts. ²In the first part, the doctoral candidate shall explain the goals and results of his or her thesis in the form of a presentation lasting a maximum of 20 minutes, and shall furthermore answer relevant questions. ³In the second part of the oral defence, the doctoral candidate will receive questions from the examiners that relate to the subject areas and methodical questions concerning the speciality as a whole and related research areas, as well as on the larger scientific context that includes the thesis.

(3) The oral defence shall last at least 90 and no more than 120 minutes.

(4) ¹The examination board will take the examination, and at least two thirds of its members must be in attendance, including at least two evaluators of the thesis. ²The examination board is entitled to allow questions to be put to the doctoral candidate from the university public.

(5) ¹The oral defence is open to the university public. ²Upon application of the doctoral candidate, the university public may be excluded, provided there is an important reason. ³Ruling thereon is passed by the examination board. ⁴The university public must be informed of the date of the oral defence in a suitable form.

§ 19 Doctoral viva

(1) In the doctoral viva (rigorosum) the doctoral candidate should prove thorough and interdisciplinary education in the humanities and decision-making capability.

(2) ¹The examination shall cover two or three research areas and shall last approx. 120 minutes in total. ²The examination will focus for approx. 60 minutes on the research area of the thesis as the main subject, and will then proceed to focus for approx. 60 minutes on a second research area as a main subject, or for approx. 30 minutes respectively on two other research areas as subsidiary subjects. ³Besides the research areas as indicated in Appendix I, electable main and subsidiary subjects shall also include all of the research areas that can be taken as

module packages outside of the specific subject as part of a Master degree course at the Faculty of Humanities. ⁴The doctoral committee can approve further exceptions on application, provided that there are authorised examiners available at the University of Göttingen for the research area in question. An application does not constitute a legal entitlement.

(3) The examination board presides over the examination. At least two thirds of the members of the examination board, and no fewer than three members, must be in attendance, including at least one authorised examiner in each of the selected examination research areas, respectively.

(4) ¹Doctoral candidates, who will soon be taking the oral examination themselves, can follow the examination, provided the examinee provides consent. ²This right does not extend to advice and notification of the examination result.

IV. Pass, fail, appeals procedure; completion of doctoral studies

§ 20 Individual and overall grades of the doctoral studies

(1) Immediately upon conclusion of the oral examination, the examination board decides in a non-public procedure whether the entire examination has been passed.

(2) ¹The following grades can be awarded for the oral examination:

| | | |
|-----------------|--------------------|------|
| summa cum laude | (with distinction) | (0) |
| magna cum laude | (very good) | (1) |
| cum laude | (good) | (2) |
| rite | (satisfactory) | (3). |

²In the case of the doctoral viva (rigorosum), the grades (apart from the grade summa cum laude) can be increased by 0.3 or (with the exception of the grade rite) reduced by the same amount; it is also possible to grade certain parts of the examination as "non rite" (insufficient).

(3) The grade of the oral defence is awarded by resolution of the examination board.

(4) ¹The grade in the doctoral viva represents the weighted grade point average of the grades in the individual examination subjects. An assessment of individual examination parts as "non rite" constitutes the award of a grade point of 4. ²Here, a multiplier of 2 is applied to the main subject, and a multiplier of 1 to the subsidiary subject. ³The grade is, for an average of:

| | |
|--------------------------|------------------|
| up to and including 0.50 | summa cum laude, |
| up to and including 1.50 | magna cum laude, |

up to and including 2.50 cum laude,

up to and including 3.50 rite.

⁴Each member of the examination board awards a grade for each main and subsidiary subject in which he or she is an authorised examiner. ⁵The grades in the individual examination subjects represent the grade point averages of the assessments awarded to the examination subject; sentence 3 applies accordingly.

(5) ¹Following the oral examination, the chairperson of the examination board shall inform the candidate of the results of the doctoral examination. ²In the event of passing, he or she shall inform the candidate immediately that the doctoral degree must not be used before award of the doctorate. ³Upon application, the doctoral candidate receives a written certificate that the examination has been passed. This must also detail that the doctoral degree may not be used before award of the doctorate.

§ 21 Failure, discontinuance, retaking the oral examination

(1) ¹An insufficient performance in the oral examination will receive the assessment "non rite". An oral examination receiving the overall assessment of "non rite" is considered failed. A doctoral viva is considered failed if the average grade is above 3.50 as set forth in § 20 section 4. ²If the candidate fails to attend the examination or withdraws from the examination, then the examination is considered failed, unless there is good cause. ³Good cause must be notified immediately in writing to the doctoral committee and must be made plausible. ⁴In the case of withdrawal or failure to attend due to illness, a medical certificate specifying the expected duration of the disease causing the inability to take the examination should be produced immediately, unless the illness is obvious. ⁵If the reasons are accepted, a new examination date is scheduled.

(2) ¹A failed oral examination can be repeated within a year upon application of the doctoral candidate. However, this shall be no earlier than after six months. ²A second repetition shall not be permitted. Failed examinations in a comparable doctoral degree programme at other universities shall be added. ³If the oral examination has been irrevocably failed, the doctoral examination shall also be deemed irrevocably unsuccessful.

(3) ¹An attempt at repetition must be completed before the same examination board. ²The doctoral committee will appoint new examiners as necessary.

§ 22 Safeguarding provisions

(1) ¹If the examinee makes it plausible that he or she is not in a position (e.g., due to long-lasting or permanent physical impairment or chronic disease) to complete the examination components entirely or partly in the intended form, then he or she should be able to complete the examination components in an extended processing time or equivalent examination components in another form. ²A medical certificate from a specialist physician must be produced in original. ³Submission of a copy is not sufficient. ⁴The doctoral committee rules in this effect.

(2) ¹Insofar as compliance with the deadlines for the initial registration for examinations, retake, reasons for not attending the examinations and compliance with processing times for examination papers are affected, the illness of the examinee and the illness of and the necessary sole care for a near relative are considered equivalent. ²Close relatives are the candidate's children, parents, grandparents, married partner and life partner, as well as their children.

(3) Examination components or prerequisites may not be performed by expectant mothers, if the life or health of the mother or child is endangered according to the medical certificate.

(4) ¹The doctoral candidate must not be placed at a disadvantage due to compliance with provisions under section 3 and the Maternity Protection Act. ²Fulfilment of the requirements under sections 1 to 3 should be evidenced through suitable documents, for example, medical certificates from specialist physicians, birth certificates, certificates of the local residents' registration office, etc.

§ 23 Decision, objection

(1) ¹Rejections and other negative acts of administration that are ruled according to these regulations or other programme regulations must be reasoned in writing and provided with information on legal remedies and communicated to the doctoral candidate. ²These decisions can be objected to within one month after publication of the notice, as far as this notice concerns the review of an examination result.

(2) The objection must be lodged in writing or in transcript.

(3) The doctoral committee decides on the objection in consideration of the procedure under section 4, unless the objection is cleared off.

(4) ¹Insofar as the appeal refers to a specific examination assessment by a certain examiner, the doctoral committee shall forward the appeal to this person for review. ²If the examiner changes the assessment according to the objection, then the doctoral committee shall sustain the objection. ³Otherwise, it reviews the decision based on the statement by the examiner, especially based on whether

- a) the examination procedure has been properly carried out,
- b) the generally valid assessment principles have been followed,
- c) the examiner has not been influenced by irrelevant considerations.

⁴The same applies if the appeal is made against an assessment by several examiners. ⁵In the event that there should be a violation in accordance with sentence 3, a further review on the dissertation shall be obtained accordingly or the oral examination will be repeated with an examiner as yet uninvolved in the procedure. ⁶Reassessment must not lead to deterioration in the examination assessment.

(5) ¹If the objection is not sustained, a notice of rejection is issued. ²It is issued by the dean of studies. ³The notice of rejection should be reasoned, issued with instructions on rights of legal remedy and served to the appellant.

§ 24 Publication of the thesis

(1) Doctoral candidates must publish their thesis.

(2) ¹Conditions imposed by the evaluators concerning changes in content must be considered in the publication. ²Ruling thereon is passed by the examinations board in the event of differences. ³Once all of the conditions are satisfied, the first academic advisor must, without delay, approve by signature on the revision certificate (Appendix V) the final version for publication. In the event that he or she did not act as an evaluator, the examination board will appoint one of its members to issue the revision certificate.

(3) Publication takes place in the form of printing of the thesis as a dissertation print, in an academic journal, in an academic series, as an independent printed or electronic publication in the publishing trade, as an electronic publication on the document server of the Göttingen State and University Library, or by a comparable academic information infrastructure.

(4) The faculty council may permit other publication forms in individual cases.

(5) ¹The doctoral candidate must submit a definite number of printed copies of the publications of his or her thesis (mandatory copies) to be specified by the faculty council's decision for the respective publication form, free of charge to the faculty. ²These must be submitted to the examination office within two years of passing the oral examination. ³If the doctoral candidate fails to meet this deadline, all rights acquired through the examination become void. ⁴The doctoral committee can extend the deadline for submission, but by no more than a further two years. ⁵This requires a plausible application filed by the doctoral candidate before expiry of the two-year deadline according to sentence 3.

(6) ¹The obligatory copies should be provided with a title page, which should be designed according to the template in Appendix IV. ²The thesis should be followed by a short printed CV that outlines the scientific educational career of the doctoral candidate, as well as details about date and place of birth, nationality, and duration of study at each one of the universities according to the sequence of their attendance. ³The doctoral committee may approve exemption from the regulations set forth in sentences 1 and 2; they do not apply to the copies of the work published in the publishing trade or in an electronic form.

(7) ¹Publication in the form of single contributions in publications with external review procedures is also considered a publication, insofar the publications represent the contents of the thesis as a whole. ²This is confirmed in the revision certificate (section 2). ³The provision of the section 6 applies correspondingly. ⁴If the thesis is published in parts in accordance with sentence 1, but without reflecting the contents as a whole, the provisions of sections 2 to 6 apply accordingly to the as yet unpublished parts.

(8) ¹Should there be good cause, and upon joint application of the doctoral student and his or her first academic advisor, publication may be managed initially in a manner so that only the abstract is made publicly available for a certain period and not the complete thesis manuscript.

²Good cause shall apply in particular if

- a) parts of the thesis were accepted for publication in a journal or a publishing house and prior publication of these parts is inadmissible according to the underlying contract, or
- b) this is required for the protection of intellectual property, as otherwise the application for property rights would be in jeopardy, the publication depends on the consent of third parties, or scientific data directly connected with the dissertation should first be published in another way.

³The period under sentence 1 ends six months after the date of the passed oral examination. Provided a reasoned application is submitted, the period can be extended once by an additional six months. ⁴A ruling regarding this is passed by the examination board. ⁵The

application must be made before the date of the oral examination. ⁶The mandatory copies under section 5 sentence 1 must be submitted at the latest with the expiry of the time period under sentences 1 and 3.

§ 25 Award of the doctorate

(1) ¹Once the doctoral candidate has satisfied all obligations as defined under these regulations, in particular, submission of the mandatory copies, the examination office shall conclude the doctoral studies by awarding the doctoral certificate according to the template in Appendix VI. The degree certificate will be in the German, English or Latin language at the discretion of the doctoral candidate. ²An "official translation" shall also be issued in the English language if the degree certificate is in German or Latin. ³The day of the passed oral examination is considered the date of doctoral graduation.

(2) ¹Contrary to section 1 sentence 1, the doctorate can be awarded before the mandatory copies under § 24 section 5 are submitted, if

a) instead of the mandatory copies, a publishing house contract with a scientific publishing house is submitted and, moreover, declares in writing that the printing and submission of the mandatory copies are guaranteed within a maximum period of one year since the oral examination, or another important reason was proven as per § 24 section 8 sentence 2, and

b) publication takes place in accordance with § 24 section 8.

²The doctorate is awarded in this case under reservation of the fulfilment of obligations according to § 24 section 5. ³If this obligation is violated, all rights acquired through the examination, especially the right to carry the doctoral degree, are suspended. ⁴In this case, the doctoral certificate shall be returned immediately. ⁵However before the deadline as set forth in § 24 section 5 sentences 2 and 4 expires, the doctoral candidate again has the opportunity to fulfil the requirements for awarding the doctoral degree, after which all rights acquired through the examination, especially the right to carry the doctoral degree, become void.

(3) ¹The doctoral degree may not be used before award of the doctoral certificate. ²The title "Dr. des." must not be used.

(4) Doctoral studies are complete upon award of the doctoral certificate.

§ 26 Inspection of the examination records

¹In the period between the conclusion of the oral examination and the award of the doctoral degree, or within four weeks after return or rejection of the thesis or after failure of the oral examination, the doctoral candidate is entitled to inspect the written reviews and the minutes of the examination. ²Copies of the record content can also be issued, subject to payment of an administrative fee.

V. Invalidity of the doctoral degree examination; withdrawal of the doctorate

§ 27 Invalidity of the doctoral degree examination; withdrawal of the doctorate

- (1) The Doctoral degree examination can be declared void and the doctorate can be withdrawn,
- a) if it is subsequently ascertained that the doctorate was acquired through fraudulence, or if essential requirements for the admission to the doctoral examination or for the awarding were wrongly presumed to be fulfilled,
 - b) if it is subsequently ascertained that the holder of the doctoral degree did, due to grossly negligent or intentional conduct in violation of the obligation to uphold scientific integrity,
 - ba) prove unworthy of being awarded a doctoral degree, or
 - bb) prove unworthy of holding an academic degree due to subsequent behaviour.
- (2) In the event that there is a lawful conviction for a criminal offence in connection with the doctoral studies, the doctoral examination shall be declared void and the doctorate shall be withdrawn.
- (3) ¹The faculty council shall rule on decisions as specified under sections 1 and 2 by majority votes of its members holding a doctorate. ²If scientific misconduct is suspected, the university ombudsman's committee first checks whether the initial suspicion of scientific misconduct presumably exists. ³The notification is issued by the dean. ³The notice must be sent to the recipient concerned.

VI. Honorary doctorates, renewal of the doctoral certificate

§ 28 Awarding the honorary doctorate

(1) ¹The title of honorary doctor of philosophy can be awarded in exceptional cases in recognition of particular academic merits. ²Exclusively members and fellows of the Faculty of Humanities are entitled to submit proposals for persons to be honoured in this way. ³The honorary doctorate is awarded by resolution of the faculty council; a resolution shall require a majority of four fifths of the members eligible to vote, including a majority of four fifths of the members of the professoral group. ⁴The decision should be prepared by a commission of the faculty council, assembled by groups of membership.

(2) ¹The honorary doctorate is awarded by the dean in the form of a degree certificate. ²This degree certificate shall list the scientific merits that led to the award of the honorary doctorate.

§ 29 Renewal of the doctoral certificate

The doctoral certificate according to § 25 section 1 can be renewed 50 years after the successfully passed doctoral examination.

VII. Double doctorates

§ 30 Prerequisites for a joint supervision procedure

(1) A doctoral process carried out jointly with a foreign university or faculty sets the prerequisites that

1. an agreement on the international supervision of this doctoral studies has been made with the foreign university or faculty or an individual cooperation contract for completion of a double doctoral degree has been concluded with the foreign university or faculty;
2. admission to the doctoral degree has been granted to the doctoral candidate at both the University of Göttingen and the foreign university or faculty.

(2) ¹The thesis can be submitted at the University of Göttingen or the foreign university or faculty according to more detailed regulation in the agreement under section 1 no. 1. ²A dissertation that was submitted at the foreign university or faculty before conclusion of the agreement in accordance with section 1 no. 1 and was accepted or rejected there, cannot be resubmitted at the University of Göttingen. ³The agreement under section 1 no. 1 must ensure that a thesis submitted at the University of Göttingen, and accepted or rejected there before conclusion of the agreement under section 1 no. 1, cannot be resubmitted at the foreign university or faculty.

(3) ¹During the performance of the doctoral process, the thesis supervision is provided by at least one authorised examiner at the University of Göttingen and one professor at the foreign university or faculty. ²The organisation of supervision is specified in the agreement under section 1 no. 1.

(4) ¹If the thesis is submitted at the University of Göttingen, then § 31 shall be applied. ²If the thesis is submitted at the foreign university or faculty, then § 32 shall be applied.

§ 31 Submission at the University of Göttingen

(1) ¹Notwithstanding § 12 (provision on composition of the examination board), the doctoral committee appoints in agreement with the foreign university or faculty an examination board, which is to be equally constituted by scientists and scholars of both universities. Further information on composition should be regulated in the agreement under § 30 section 1 no. 1.

²Both academic advisers to the thesis shall be appointed as reviewers.

(2) ¹If the dissertation was accepted at the University of Göttingen, then it is transferred to the foreign university or faculty for approval on the continuation of the procedure. ²If the foreign university or faculty issues approval on continuation of the process, then an oral examination takes place according to the provisions of §§ 17 to 21. A different procedure as described in §§ 17 to 21 may be applied according to the terms of the agreement in § 30 section 1 no. 1 in justified exceptions.

(3) ¹If the thesis is accepted by the University of Göttingen, but the approval for continuing the process is rejected by the foreign university or faculty, the joint procedure is terminated. ²The doctoral process is continued according to the general provisions of these regulations. ³A new examination board is to be appointed for the examination according to § 12 (provisions for appointing the examination board).

§ 32 Submission at the foreign university or faculty

(1) ¹If the thesis is submitted at the foreign university or faculty, then the foreign university or faculty decides on its acceptance and/or continuation of the procedure after reviewing the thesis, and will thereupon inform the University of Göttingen. ²If a positive ruling is issued, the Faculty of Humanities shall decide in accordance with § 14 and after submission of all required reviews. ³The dean announces the result to the foreign university or faculty. ⁴Furthermore, he

or she shall announce the names of the reviewers of the University of Göttingen to be appointed to the examination board. This board shall be staffed by scientists from both universities. ⁵The oral examination takes place at the foreign university or faculty. ⁶Deviating from sentences 1 to 3, the agreement as per § 30 section 1 No. 1 shall stipulate that a dissertation accepted in the course of the foreign university or faculty shall also be considered as accepted at the Faculty of Humanities of the University of Göttingen, provided that at least one authorised examiner member of the faculty was involved as a reviewer in the procedure at the foreign university or faculty and has himself/herself recommended that this dissertation be accepted.

(2) ¹If the thesis is rejected at the University of Göttingen, then the joint procedure is concluded. ²The rejected dissertation may not be resubmitted at the University of Göttingen. ³The decisions on the reassessment of the doctoral degree remain unaffected.

(3) ¹If the dissertation is rejected at the foreign university or faculty, then the joint procedure is concluded. ²The thesis can be submitted at the University of Göttingen. ³The doctoral process is continued according to the general provisions of these regulations. ⁴A new examination board is to be appointed for the examination according to § 12 (provisions for appointing the examination board).

§ 33 Joint doctoral certificate

¹Successful completion of the doctoral process in co-supervision with a foreign university or faculty is followed by the award of a joint doctoral certificate, signed by both universities which shows that it is a doctoral degree for academic performance awarded jointly by the concerned universities. ²In the event that it is not possible to issue a joint doctoral certificate, the doctoral certificate of the University of Göttingen will be issued with the addendum that the doctoral degree was earned based on a joint doctoral process conducted with the foreign university or faculty.

VIII. Final provisions

§ 34 Entry into force; interim regulations

(1) This regulation enters into force following its announcement in the Official Announcements I of the Georg-August-Universität Göttingen by 01.10.2015.

(2) At the same time, the doctoral degree regulations of the Faculty of Humanities at the University of Göttingen in the version contained in the announcement dated 01.08.2002 (Official Announcements 11/2002 p. 323), last amended by resolution of the presidential board on 03.07.2012 (Official Announcements I No. 23/2012 p. 1218), shall cease to be in force.

(3) ¹Doctoral candidates who were admitted as doctoral candidates before this regulation came into force will be examined based on the provisions of this regulation. ²Contrary to sentence 1, they will continue to be examined based on the regulations applicable to them until this time, subject to an application that must be placed within one year of this regulation coming into force. In these cases, §§ 24 and 25 of this regulation shall remain applicable. ³Sentences 1 and 2 do not apply to doctoral candidates who were accepted as doctoral candidates before 08.08.2002. They shall continue to be examined exclusively according to the regulations applicable to them so far. ⁴A doctoral examination according to other regulations than those specified herein shall be conducted at the Faculty of Humanities for the last time at the end of the summer semester 2019.

Appendix I Research areas for the thesis; subject relevance and qualifications for entry; examination languages; form of the thesis (re. §§ 4 section 1 sentence 7, section 5, 11 section 2 sentence 3, section 3 sentence 3, section 6, 17 section 2 sentence 1)

1. Permissible research areas of the thesis

Candidates may select their thesis from the following research areas:

- Egyptology
- General Linguistics
- Ancient History
- Ancient Near Eastern Studies
- Arabic Studies/ Islamic Studies
- Bioethics
- Christian Archaeology and Byzantine Art History
- German Philology (Medieval German Language and Literature)
- German Philology (German Linguistics)
- German Philology (Modern German Literature)
- Didactics of Biology
- Didactics of the Chinese Language and Literature
- Didactics of the German Language and Literature
- Didactics of the English Language, Literature and Culture
- Didactics of the French Language and Literature
- Didactics of History
- Didactics of the Italian Language and Literature
- Didactics of the Spanish Language and Literature
- English Philology (English Literature and Cultural Studies)
- English Philology (Medieval English Language and Literature)
- English Philology (Modern English Language)
- Specialised Didactics of Ancient Languages
- Finno-Ugric Philology
- History and Culture in Modern South Asia
- History and Literature in Early Christianity
(new doctoral candidates will not be accepted; current procedures will be completed)
- Greek Philology
- Indo-Germanic Linguistics
- Indology
- Intercultural German Studies
- Iranian Studies
- Classical Archaeology
- Comparative Studies (General and Comparative Literature and Cultural Studies)
- Coptology
- Cultural Anthropology/European Ethnology
- Art History
- Latin Philology
- Latin Philology of the Middle Ages and the Modern Era
- Linguistic Anthropology and Indigenous American Studies
- Medieval and Renaissance Studies
- Medieval and Modern History
- Musicology
- North American Studies
- East Asian Studies/Modern Sinology

- Eastern European History
- Philosophy
- Psycholinguistics
- Religious Studies
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)
- Scandinavian Philology (Early Scandinavian Studies)
- Scandinavian Philology (Recent Scandinavian Studies)
- Slavic Philology (Literature Studies)
- Slavic Philology (Linguistics)
- Turkish Studies and Central Asian Studies
- Prehistory and Early History
- Economic and Social History

2. Relevant prior degree course and particular qualifications for entry

| Research area | Relevant prior degree course (minimum requirements) | Particular qualifications for entry |
|------------------------------|--|--|
| Egyptology | Prerequisites in ancient and historical, oriental or theological research areas or archaeology and closely related fields in a scope of at least 90 C, including a) examination components in Egyptology or Coptology in a scope of at least 30 C, b) a focus on a non-philological Egyptian or Coptic area in a scope of at least 12 C, and c) proof of language skills in two language levels or regional dialects of the Egyptian-Coptic language (e.g. Ancient Egyptian, Middle Egyptian, Modern Egyptian, Demotic, Sahidic-Coptic or Bohairic-Coptic) in a scope of at least 12 C. | Proof of good English language skills (at least B2 in CEF). |
| General Linguistics | Prerequisites in General Linguistics, Linguistics or a philology in a scope of at least 60 C, including prerequisites of at least 36 C in the fields of syntax, phonology, morphology, semantics, pragmatics, empiricism (language courses of philological subjects, psycho-linguistic or corpus-based data collection). | Proof of good English language skills (at least B2 in CEF); proof of sufficient language skills in one other foreign language (at least B1 in CEF). |
| Ancient History | Prerequisites in ancient or historical studies research areas in a scope of at least 90 C, including prerequisites in Ancient History in a scope of at least 30 C. | Proof of good English language skills (at least B2 in CEF); proof of Latin and Ancient Greek skills by suitable qualifications in the Latin or Greek languages (e.g. Latinum, Graecum or equivalent language certificates). This proof must be submitted within one year following admission as a doctoral candidate; doctoral candidates are given conditional admission until such proof is submitted, and all enrolment in this respect is also conditional. |
| Ancient Near Eastern Studies | Prerequisites in the Ancient Near Eastern Studies in a scope of at least 80 C. | Proof of prerequisites in a scope of at least 48 C in an ancient Near Eastern language; proof of good English language skills (at least B2 in CEF). |

| Research area | Relevant prior degree course (minimum requirements) | Particular qualifications for entry |
|---|---|---|
| Arabic Studies/ Islamic Studies | Prerequisites in Arabic Studies/ Islamic Studies or a comparable research area in a scope of at least 80 C or equivalent. | Proof of prerequisites in a scope of at least 41 C in Arabic or a comparable language training on a high academic level. |
| Bioethics | Prerequisites from research areas of the humanities and natural sciences or medicine, including prerequisites in at least a scope of 10 C from humanities research areas and at least 2 modules in the fields of ethics/philosophy/medical ethics and bioethics, or at least 10 C from natural sciences research areas or medicine and at least 2 modules in the fields of life sciences/scientific theory/sociology of scientific knowledge/medical ethics/animal ethics/environmental ethics. | Proof of good English language skills (at least B2 in CEF); no proof of German language skills. |
| Christian Archaeology and Byzantine Art History | Prerequisites in research areas of history and cultural studies, additionally in historical research areas of ancient history and medieval history in a scope of at least 90 C, including prerequisites in a scope of at least 45 C in the subject of Christian/Late Ancient Archaeology and History of Byzantine Art. | Proof of Latin and Ancient Greek skills by suitable qualifications in the Latin or Greek languages (e.g. Latinum, Graecum or equivalent language certificates). |
| German Philology (Medieval German Language and Literature) | Prerequisites in a German studies degree programme or areas of relevance to the research area, including medieval and renaissance studies, in a scope of at least 81 C. | None. |
| German Philology (German Linguistics) | Prerequisites in a German studies degree programme or areas of relevance to the research area, including linguistics or general linguistics, in a scope of at least 81 C. | None. |

| Research area | Relevant prior degree course (minimum requirements) | Particular qualifications for entry |
|---|--|--|
| German Philology (Modern German Literature) | Prerequisites in a German studies degree programme or areas of relevance to the research area, including literature studies or comparative studies, in a scope of at least 81 C. | None. |
| Didactics of Biology | Teacher training degree course as a preparatory degree as qualification to teach the subject of biology and another subject not belonging to the natural sciences. | None. |
| Didactics of the Chinese Language and Literature | Teacher training degree course as a preparatory degree as qualification to teach the Chinese language, or prerequisites in Modern Sinology in a scope of at least 80 C (including at least 20 C in the area of linguistics or literature studies), or prerequisites in a scope of at least 80 C in linguistics (of which at least 20 C in the area of Chinese linguistics), or prerequisites in a scope of at least 80 C in literature studies (of which at least 20 C in the area of Chinese literature). | Proof of good English language skills (at least B2 in CEF) and good Chinese language skills (at least B2 in CEF). Very good English language skills must be proven (at least C1 in CEF) in the event that German language skills are not demonstrated. |
| Didactics of the German Language and Literature | Teacher training degree course as a preparatory degree as qualification to teach the subject of German. | None. |
| Didactics of the English Language, Literature and Culture | Teacher training degree course as a preparatory degree as a qualification to teach the subject of English. | Proof of very good English language skills (at least C1 in CEF); proof of sufficient German language skills (at least B1 in CEF). |

| Research area | Relevant prior degree course (minimum requirements) | Particular qualifications for entry |
|--|---|---|
| Didactics of the French Language and Literature | Teacher training degree course as a preparatory degree as qualification to teach the subject of French. | Proof of very good French language skills (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills, or proof of the Latinum. |
| Didactics of History | Prerequisites in History in a scope of at least 80 C. | None. |
| Didactics of the Italian Language and Literature | Teacher training degree course as a preparatory degree as qualification to teach the subject of Italian. | Proof of very good Italian language skills (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills, or proof of the Latinum. |
| Didactics of the Spanish Language and Literature | Teacher training degree course as a preparatory degree as qualification to teach the subject of Spanish. | Proof of very good Spanish language skills (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills, or proof of the Latinum. |
| English Philology (English Literature and Cultural Studies) | Proof of prerequisites from anglophone literature and cultural studies in a scope of at least 100 C, including at least 30 C not in the area of language practice. | Proof of very good English language skills (at least C1 in CEF); proof of sufficient German language skills (at least B1 in CEF). Proof of sufficient Latin skills (Kleines Latinum). |
| English Philology (Medieval English Language and Literature) | Proof of prerequisites in philological disciplines, historical studies, archaeology or theology in a scope of at least 100 C, including at least 40 C in the area of medieval English language, literature and culture. | Proof of very good English language skills (at least C1 in CEF); proof of sufficient German language skills (at least B1 in CEF). Proof of sufficient Latin skills (Kleines Latinum). |
| English Philology (Modern English Language) | Proof of prerequisites from linguistics in a scope of at least 100 C, including at least 30 C not in the area of language practice. | Proof of very good English language skills (at least C1 in CEF); proof of sufficient German language skills (at least B1 in CEF). |

| Research area | Relevant prior degree course (minimum requirements) | Particular qualifications for entry |
|--|---|---|
| Specialised Didactics of Ancient Languages | Prerequisites in Latin or Greek (including specialised didactics) in a scope of at least 95 C. | Proof of sufficient English language skills (at least B1 in CEF); proof of Latin and Greek qualifications (Latinum and Graecum). |
| Finno-Ugric Philology | Prerequisites in Finno-Ugric Philology in a scope of at least 100 C. | Proof of good Finnish and Hungarian language skills (at least B2 in CEF); proof of sufficient Russian language skills (at least B1 in CEF). |
| History and Culture in Modern South Asia | Prerequisites in Modern Indian Studies or a social or historical research area in a scope of at least 90 C. | Proof of very good English language skills (at least C1 in CEF); no proof of German language skills. |
| Greek Philology | Prerequisites in Greek (including specialised didactics) in a scope of at least 95 C. | Proof of sufficient English language skills (at least B1 in CEF); proof of Latin and Greek qualifications (Latinum and Graecum). |
| Indo-Germanic Linguistics | Prerequisites in General Linguistics or Indo-Germanic or comparative historical linguistics or in a philological subject with a focus on ancient Indo-Germanic language in a scope of at least 60 C. | Proof of good English language skills (at least B2 in CEF). |
| Indology | Prerequisites in Indology or South Asian Studies in a scope of at least 90 C. | Proven knowledge of Sanskrit or Hindi in a scope of at least 20 C. |
| Intercultural German Studies | Prerequisites in a German studies degree programme (Intercultural German Studies, German Philology, German as a Foreign Language, German as a Second Language) or in a subject of relevance to the research area such as linguistics, literature or cultural studies, literature and cultural history or comparative and applied linguistics in a scope of at least 84 C. | None. |

| Research area | Relevant prior degree course (minimum requirements) | Particular qualifications for entry |
|---|--|---|
| Iranian Studies | Prerequisites in the area of Iranian Studies (Ancient and/or Modern Iranian) in a scope of at least 90 C. | Proof of good English language skills (at least B2 in CEF); no proof of German language skills, provided the candidate has confirmed very good English language skills (at least C1 in CEF). |
| Classical Archaeology | Prerequisites in ancient, art or historical studies research areas in a scope of at least 90 C, including prerequisites in Classic Archaeology in a scope of at least 30 C. | Proof of sufficient English language skills (at least B1 in CEF); proof of Latin and Greek qualifications (Kleines Latinum and Graecum). Provided that very good English language skills can be proven (at least C1 in CEF), the proof of very good German language skills (at least C1 in CEF) can be replaced with the proof of sufficient German language skills (at least B1 in CEF). |
| Comparative Studies (General and Comparative Literature and Cultural Studies) | Prerequisites in a research area of cultural or literature studies in a scope of at least 80 C. | Proof of Latin qualifications (Kleines Latinum); sufficient knowledge of at least two modern foreign languages (including either English or French) on at least a B1 level in CEF. The Latin language skills can be replaced with knowledge of a further foreign language (at least B1 in CEF). |
| Coptology | Prerequisites in ancient and historical, oriental or theological research areas or archaeology and closely related fields in a scope of at least 90 C, including a) examination components in the Egyptology or Coptology in a scope of at least 30 C, b) a focus on a non-philological Egyptian or Coptic area in a scope of at least 12 C, and c) proof of language skills in two language levels or regional dialects of the Egyptian-Coptic language (e.g. Ancient Egyptian, Middle Egyptian, Modern Egyptian, Demotic, Sahidic-Coptic or Bohairic-Coptic) in a scope of at least 12 C. | Proof of good English language skills (at least B2 in CEF) or knowledge of classic or New Testament Greek in a scope of at least 8 C. |

| Research area | Relevant prior degree course (minimum requirements) | Particular qualifications for entry |
|---|--|--|
| Cultural Anthropology/European Ethnology | Prerequisites in Cultural Anthropology/European Ethnology (i.e. Empirical Cultural Studies, Folklore, Popular Cultures) and/or in a research area of cultural studies, humanities or social sciences in a scope of at least 80 C. | Very good English language skills (at least C1 in CEF), good knowledge of another European foreign language (at least B2 in CEF); no proof of German language skills. Applicants from outside of the European language areas are entitled to provide proof of a non-European native language or good skills in the official language of their country (at least B2 in CEF, but not English) in place of the other European foreign language. |
| Art History | Prerequisites in Art History, fine and visual arts or a closely related subject area in a scope of at least 80 C. | Proof of Latin qualifications (Latinum). |
| Latin Philology | Prerequisites in Latin (including specialised didactics) in a scope of at least 95 C. | Proof of sufficient English language skills (at least B1 in CEF); proof of Latin and Greek qualifications (Latinum and Graecum). |
| Latin Philology of the Middle Ages and the Modern Era | Prerequisites in the research areas of Medieval Studies or Early Modern Studies or Latin Philology in a scope of at least 80 C, including prerequisites in the subject of Latin Philology of the Middle Ages or Latin Philology of the Middle Ages and the Modern Era in a scope of at least 18 C. | Proof of Latin qualifications (Kleines Latinum). |
| Linguistic Anthropology and Indigenous American Studies | Prerequisites in a related subject of cultural studies or social sciences, in particular Ethnology, Archaeology, Ancient History, General Linguistics, Romanic Philology (Spanish Studies), English Philology or Gender Studies in a scope of at least 90 C. | Proof of good English language skills (at least B2 in CEF). |
| Medieval and Renaissance Studies | Prerequisites in Medieval and Renaissance Studies, History, Medieval and Modern Latin, German Studies, Romanic Studies, English Studies or Art History in a scope of at least 80 C. | Proof of Latin qualifications (Kleines Latinum). |
| Medieval and Modern History | Prerequisites in history in a scope of at least 78 C. | Proof of the Kleines Latinum; proof of good English language skills (at least B2 in CEF). The Kleines Latinum can be replaced with a second modern foreign language (at least B2 in CEF) in the event of a thesis project on the modern era. |

| Research area | Relevant prior degree course (minimum requirements) | Particular qualifications for entry |
|---------------------------------------|---|--|
| Musicology | Prerequisites in Musicology or a closely related subject area in a scope of at least 80 C. | Sufficient English language skills (at least B1 in CEF); very good English language skills must be proven (at least C1 in CEF) in the event that German language skills are not demonstrated. |
| North American Studies | Proof of prerequisites in American Studies/English Studies or English (Literature and Cultural Studies) in a scope of at least 100 C, including at least 50 C in North American Studies (literature and culture of North America). | Proof of very good English language skills (at least C1 in CEF); proof of sufficient German language skills (at least B1 in CEF). Proof of sufficient Latin skills (Kleines Latinum). |
| East Asia Studies/ Modern Sinology | Prerequisites in Modern Sinology in a scope of at least 80 C. | Proof of good English language skills (at least B2 in CEF). Very good English language skills must be proven (at least C1 in CEF) in the event that German language skills are not demonstrated. |
| Eastern European History | Prerequisites in Eastern European History or Medieval and Modern History in a scope of at least 78 C. | Proof of sufficient knowledge of a Slavic language or another language spoken in Eastern Europe, preferably Russian or Polish, also English (at least B1 in CEF, respectively). English can be replaced with a second modern, western language in exceptional cases, but the doctoral candidate must prove equivalent command. |
| Philosophy | Prerequisites in Philosophy in a scope of at least 80 C. | None. |
| Psycholinguistics | Prerequisites in General Linguistics, Linguistics or a philology in a scope of at least 60 C, including prerequisites in a scope of at least 36 C in the core areas of Linguistics (phonology, morphology, syntax, semantics, pragmatics) and empirical Linguistics (psycho-linguistic data collection and statistical evaluation). | None. |

| Research area | Relevant prior degree course (minimum requirements) | Particular qualifications for entry |
|--|---|---|
| Religious Studies | Prerequisites in Religious Studies, Values and Norms or a directly related philology, theology, social or cultural studies in a scope of at least 90 C, including subjects relating to historical, empirical or systematic issues within Religious Studies in a scope of at least 36 C. | Ability to undertake source studies in at least one (ideally related to the doctoral project) traditional field: i.e. classic or contemporary knowledge of religious philology – e.g. Latin, Sanskrit; classic or modern Arabic – in a scope of 12 C. |
| Romanic Philology (French Literature Studies) | Prerequisites in Romanic Philology in a scope of at least 90 C. | Proof of very good French language skills (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills, or proof of the Latinum. |
| Romanic Philology (French Linguistics) | Prerequisites in Romanic Philology in a scope of at least 90 C. | Proof of very good French language skills (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills, or proof of the Latinum. |
| Romanic Philology (Ibero-Romanic Literature Studies) | Prerequisites in Romanic Philology in a scope of at least 90 C. | Proof of very good Spanish or Portuguese language skills (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills, or proof of the Latinum. |
| Romanic Philology (Ibero-Romanic Linguistics) | Prerequisites in Romanic Philology in a scope of at least 90 C. | Proof of very good Spanish or Portuguese language skills (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills, or proof of the Latinum. |
| Romanic Philology (Italian Literature Studies) | Prerequisites in Romanic Philology in a scope of at least 90 C. | Proof of very good Italian language skills (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills, or proof of the Latinum. |

| Research area | Relevant prior degree course (minimum requirements) | Particular qualifications for entry |
|--|---|--|
| Romanic Philology (Italian Linguistics) | Prerequisites in Romanic Philology in a scope of at least 90 C. | Proof of very good Italian language skills (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills, or proof of the Latinum. |
| Romanic Philology (Romanic Literature Studies) | Prerequisites in Romanic Philology in a scope of at least 90 C. | Proof of very good language skills in a Romanic language (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills, or proof of the Latinum. |
| Romanic Philology (Romanic Linguistics) | Prerequisites in Romanic Philology in a scope of at least 90 C. | Proof of very good language skills in a Romanic language (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills, or proof of the Latinum. |
| Scandinavian Philology (Early Scandinavian Studies) | Prerequisites in Scandinavian Studies, Nordic Philology, North European Studies or medieval and early modern studies in a scope of at least 72 C. | Proof of old Nordic language skills (at least 8 lecture hours) and sufficient knowledge of a modern Scandinavian language (at least B1 in CEF); proof of Latin skills (at least Kleines Latinum). |
| Scandinavian Philology (Recent Scandinavian Studies) | Prerequisites in Scandinavian studies, Nordic Philology, North European Studies, Comparative Studies or Literature Studies in a scope of at least 72 C. | Proof of very good language skills in a modern Scandinavian language (at least C1 in CEF); proof of sufficient English language skills (at least B1 in CEF). |
| Slavic Philology (Literature Studies) | a) prerequisites in Slavic Philology in a scope of at least 96 C, including from the field of Slavic literature studies in a scope of at least 36 C, or b) prerequisites in Slavic Philology in a scope of at least 78 C and prerequisites from the field of comparative literature studies in a scope of at least 42 C. | Proof of good skills in a Slavic language (at least B2 in CEF) and Greek or Latin qualifications (Kleines Latinum or Graecum). Sufficient knowledge of two other modern foreign languages (at least B1 in CEF, respectively) can be demonstrated instead in the event that Latin or Greek language skills are not relevant to the topic of the thesis. |

| Research area | Relevant prior degree course (minimum requirements) | Particular qualifications for entry |
|---|---|--|
| Slavic Philology (Linguistics) | Prerequisites in Slavic Philology/Slavic Studies in a scope of at least 100 C, including from the field of general and/or Slavic linguistics in a scope of at least 40 C. | Proof of Latin or Greek skills (Kleines Latinum, Graecum). Sufficient knowledge of two other modern foreign languages (at least B1 in CEF, respectively) can be demonstrated instead in the event that Latin or Greek language skills are not relevant to the topic of the thesis. |
| Turkish Studies and Central Asian Studies | Prerequisites in Turkish Studies, Central Asian Studies, Mongolian Studies, Altaic Studies or in a neighbouring academic discipline in a scope of at least 80 C. | Proof of very good English language skills (at least C1 in CEF). |
| Prehistory and Early History | Prerequisites in Pre- and Early History in a scope of at least 100 C or prerequisites in Pre- and Early History in a scope of at least 50 C and prerequisites in comparable archaeological disciplines and/or neighbouring disciplines of the humanities or natural sciences of relevance to the research area of the thesis in scope of at least 50 C. | Proof of very good knowledge of one European foreign language (at least C1 in CEF) and good skills in another European foreign language (at least B2 in CEF). Latin qualifications (Latinum) are permitted in place of proof of this second foreign language. |
| Economic and Social History | Prerequisites in Economic and Social History or an associated subject within social or historical studies in a scope of at least 90 C. | Proof of very good English language skills (at least C1 in CEF) if no proof of German language skills is demonstrated. |

3. Permissible examination languages

The following examination languages are permitted in the following research areas in addition to German and English. This does not apply to minutes and reviews.

a) French:

- Didactics of the French Language and Literature
- Didactics of the Italian Language and Literature
- Didactics of the Spanish Language and Literature
- Classical Archaeology (only written examination)
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)

b) Italian:

- Didactics of the French Language and Literature
- Didactics of the Italian Language and Literature
- Didactics of the Spanish Language and Literature
- Classical Archaeology (only written examination)
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)

c) Portuguese:

- Didactics of the French Language and Literature
- Didactics of the Italian Language and Literature
- Didactics of the Spanish Language and Literature
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)

d) Spanish:

- Didactics of the French Language and Literature
- Didactics of the Italian Language and Literature
- Didactics of the Spanish Language and Literature
- Linguistic Anthropology and Indigenous American Studies
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)

4. Thesis forms

a) The form of cumulative thesis is permitted in the following research areas in accordance with § 11 section 6:

Egyptology

Ancient Near Eastern Studies

Bioethics

German Philology (German Linguistics)

German Philology (Modern German Literature)

Didactics of Biology

Didactics of the German Language and Literature

Didactics of the English Language, Literature and Culture

English Philology (Medieval English Language and Literature)

English Philology (Modern English Language)

Intercultural German Studies

Iranian Studies

Coptology

Cultural Anthropology/European Ethnology

Linguistic Anthropology and Indigenous American Studies

Musicology

Psycholinguistics

b) A thesis combined with a film or a different audio-visual media format is permitted in the following research areas:

Cultural Anthropology/European Ethnology

Appendix Ia Programme-specific requirements
(Re. §§ 1 section 1, 7 section 1a)

1. The following doctoral degree programmes are conducted at the Faculty of Humanities:

- a. Doctoral degree programme “Humanities I: Didactics”
- b. Doctoral degree programme “Humanities II: Historical Subjects”
- c. Doctoral degree programme “Humanities III: Subjects of Cultural Studies”
- d. Doctoral degree programme “Humanities IV: Modern Area Studies”
- e. Doctoral degree programme “Humanities V: Object-Oriented Subjects”
- f. Doctoral degree programme “Humanities VI: Philologies”
- g. Doctoral degree programme “Humanities VII: Linguistics”
- h. Doctoral degree programme “Humanities VIII: Enlightenment”
- i. Doctoral degree programme “Humanities IX: Religion”

2. The following research areas participate in the doctoral degree programmes as per no. 1.

- a. Doctoral degree programme “Humanities I: Didactics”:
 - Didactics of Biology
 - Didactics of the Chinese Language and Literature
 - Didactics of the German Language and Literature
 - Didactics of the English Language, Literature and Culture
 - Didactics of the French Language and Literature
 - Didactics of History
 - Didactics of the Italian Language and Literature
 - Didactics of the Spanish Language and Literature
 - Specialised Didactics of Ancient Languages

- b. Doctoral degree programme “Humanities II: Historical Subjects”
 - Egyptology
 - Ancient History
 - Ancient Near Eastern Studies
 - Arabic Studies/ Islamic Studies
 - German Philology (Medieval German Language and Literature)
 - Didactics of the French Language and Literature
 - Didactics of History
 - Didactics of the Italian Language and Literature

- Didactics of the Spanish Language and Literature
- English Philology (Medieval English Language and Literature)
- History and Culture in Modern South Asia
- Comparative Studies (General and Comparative Literature and Cultural Studies)
- Coptology
- Art History
- Latin Philology of the Middle Ages and the Modern Era
- Medieval and Renaissance Studies
- Medieval and Modern History
- Eastern European History
- Religious Studies
- Scandinavian Philology (Early Scandinavian Studies)
- Scandinavian Philology (Recent Scandinavian Studies)
- Prehistory and Early History
- Economic and Social History

c. Doctoral degree programme “Humanities III: Subjects of Cultural Studies”

- Egyptology
- Ancient Near Eastern Studies
- Arabic Studies/ Islamic Studies
- Bioethics
- Christian Archaeology and Byzantine Art History
- English Philology (English Literature and Cultural Studies)
- English Philology (Medieval English Language and Literature)
- Indology
- Intercultural German Studies
- Iranian Studies
- Classical Archaeology
- Comparative Studies (General and Comparative Literature and Cultural Studies)
- Coptology
- Cultural Anthropology/European Ethnology
- Art History
- Linguistic Anthropology and Indigenous American Studies
- Medieval and Renaissance Studies
- Medieval and Modern History

- Musicology
- North American Studies
- East Asian Studies/Modern Sinology
- Philosophy
- Religious Studies
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)
- Scandinavian Philology (Early Scandinavian Studies)
- Scandinavian Philology (Recent Scandinavian Studies)
- Turkish Studies and Central Asian Studies
- Prehistory and Early History

d. Doctoral degree programme “Humanities IV: Modern Area Studies”

- History and Culture in Modern South Asia
- Comparative Studies (General and Comparative Literature and Cultural Studies)
- Cultural Anthropology/European Ethnology
- North American Studies
- East Asian Studies/Modern Sinology
- Religious Studies
- Scandinavian Philology (Early Scandinavian Studies)
- Scandinavian Philology (Recent Scandinavian Studies)

e. Doctoral degree programme “Humanities V: Object-Oriented Subjects”

- Egyptology
- Christian Archaeology and Byzantine Art History
- Classical Archaeology
- Coptology
- Cultural Anthropology/European Ethnology
- Art History

- Medieval and Renaissance Studies
- Prehistory and Early History

f. Doctoral degree programme “Humanities VI: Philologies”

- Egyptology
- General Linguistics
- Arabic Studies/ Islamic Studies
- German Philology (Medieval German Language and Literature)
- German Philology (German Linguistics)
- German Philology (Modern German Literature)
- Didactics of the Chinese Language and Literature
- Didactics of the German Language and Literature
- Didactics of the English Language, Literature and Culture
- Didactics of the French Language and Literature
- Didactics of the Italian Language and Literature
- Didactics of the Spanish Language and Literature
- English Philology (English Literature and Cultural Studies)
- English Philology (Medieval English Language and Literature)
- English Philology (Modern English Language)
- Specialised Didactics of Ancient Languages
- Finno-Ugric Philology
- Greek Philology
- Indo-Germanic Linguistics
- Indology
- Iranian Studies
- Comparative Studies (General and Comparative Literature and Cultural Studies)
- Coptology
- Latin Philology
- Latin Philology of the Middle Ages and the Modern Era
- North American Studies
- East Asian Studies/Modern Sinology
- Romanic Philology (French Literature Studies)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Romanic Literature Studies)

- Scandinavian Philology (Early Scandinavian Studies)
 - Scandinavian Philology (Recent Scandinavian Studies)
 - Slavic Philology (Literature Studies)
- g. Doctoral degree programme “Humanities VII: Linguistics”
- General Linguistics
 - German Philology (German Linguistics)
 - English Philology (Modern English Language)
 - Indo-Germanic Linguistics
 - Philosophy
 - Psycholinguistics
 - Romanic Philology (French Linguistics)
 - Romanic Philology (Ibero-Romanic Linguistics)
 - Romanic Philology (Italian Linguistics)
 - Romanic Philology (Romanic Linguistics)
 - Slavic Philology (Linguistics)
- h. Doctoral degree programme “Humanities VIII: Enlightenment”
- Medieval and Modern History
 - Philosophy
 - Religious Studies
 - Economic and Social History
- i. Doctoral degree programme “Humanities IX: Religion”
- Cultural Anthropology/European Ethnology
 - Religious Studies

3. Doctoral studies - Module overview

Doctoral students of doctoral degree programmes as per no. 1 must successfully complete modules in a scope totalling at least 24 C in accordance with the following provisions.

a. Compulsory modules

The following modules with a rating of 18 C in total must be successfully completed.

| | | |
|------------|---|---------------|
| P.Phil.010 | Doctoral Colloquium I: Design and Planning of a Humanities Thesis Research Project | (6 C / 1 WLH) |
| P.Phil.020 | Doctoral Colloquium II: Research Report, Presentation and Development of a Humanities Thesis Research Project | (6 C / 1 WLH) |
| P.Phil.030 | Doctoral Colloquium III: Research Report, Presentation, Evaluation and Integration of the Latest Research | (6 C / 1 WLH) |

b. Compulsory elective modules

At least one of the following modules with a rating of 6 credits should be successfully completed.

| | | |
|------------|--|---------------|
| P.Phil.041 | Didactics in Higher Education: Design and Implementation of a Course in the Humanities | (6 C / 1 WLH) |
| P.Phil.042 | Utilization of Relevant Areas of Knowledge within the Humanities | (6 C / 1 WLH) |
| P.Phil.043 | Scientific Organisation and Management | (6 C / 1 WLH) |

4. Module catalogue

The module catalogue will be published separately. It is an integral part of these doctoral degree regulations insofar as the modules are listed in no. 3 in the module overview.”

Appendix II (re. § 4 section 3 sentence 2)

**Declaration by the doctoral candidate
at the Georg-August-Universität Göttingen**

Name.....
(Surname, First Name)

Address
(Country / Postcode / Place)

I intend to produce a thesis on the topic of
at Georg-August-Universität Göttingen. In this, I shall be supervised by Prof.
.....

I submit the following declaration:

1. The opportunity for the existing doctoral project was not made commercially available to me. Especially, I have not engaged any organisation that seeks thesis advisers against a fee for the preparation of thesis or performs my obligations with respect to examination components entirely or partly.

2. I have until now and shall in future accept the assistance of third parties only in a scope that is scientifically justifiable and compliant with the legal statutes of the examinations. I have specifically completed all parts of the dissertation myself. I have neither accepted nor will I accept in the future any outside assistance either free of charge or subject to a fee.

Furthermore, I am aware of the fact that untruthfulness with respect to the above declaration revokes admission to complete the doctoral studies and/or subsequently entitle termination of the doctoral process or withdrawal of the title attained.

....., the
(Place) (Date)

.....
(Signature)

Appendix III Template for the doctoral contract (re. § 6 section 3 sentence 2)

Agreement to safeguard specialist supervision during the doctoral process

Name: First name:

Date of birth: Place of birth: E-mail:

Address:

Doctoral studies:

Working title of the thesis:

.....
.....

Final degree:

- I am a doctoral candidate not affiliated with a programme.
- I am a fellowship-holder in the research training group/doctoral programme:
.....
- I am an employee(Institute/Centre) at the
University of Göttingen

The members of the Thesis Committee hereby undertake to provide suitable support to the thesis stated herein, that is, to provide the doctoral candidate with advice and assistance in the selection (and subsequent modification) of the topic, through joint regular reviews of progress in work and by the provision of timely responses to parts of the thesis that are submitted. Members of the Thesis Committee who are also evaluators undertake furthermore to propose to accept or reject the thesis or to return the thesis for revision within three months of its submission, and furthermore to prepare a review of the thesis within six months of its submission. The date of the oral defence must be no later than six months following submission of the thesis.

The Thesis Committee comprises the following members:

| | Name | Institute | University |
|----|-------|-----------|------------|
| 1) | | | |
| 2) | | | |
| 3) | | | |

The doctoral candidate hereby declares that he/she is aware of the applicable doctoral regulations and that he/she shall adhere thereto. In particular, this includes enrolment for the entire period of his/her doctoral project, the submission of a preliminary work schedule that must be provided no later than upon conclusion of the doctoral contract, and the preparation of an annual report on the state of research, also documentation of progress in the doctoral project. This shall be submitted to

the Thesis Committee. The members of the Thesis Committee must annotate the written report with their comments. It is then passed on to the Management Board at GSGG.

Doctoral candidates associated with a programme are furthermore required to participate actively in the programme curriculum, including its events and colloquia, and must also abide by the schedule.

Doctoral candidates not associated with a programme also undertake to regularly attend the seminars for doctoral candidates, and to provide an annual presentation of their thesis projects.

The members of the Thesis Committee and the doctoral candidate undertake to abide by the rules of good scientific practice as set forth in § 1 "Regulations of the Georg-August-Universität Göttingen to uphold good scientific practice".

Scheduled submission date for the thesis (MM/YYYY):

Signature, doctoral candidate:
.....

Signatures of the Thesis Committee:
1)
2)
3)

Göttingen, the

Appendix IV Template for the title page of the thesis (re. §§ 11 section 3 sentence 2, 24 section 6 sentence 1)

.....

(Title)

Thesis

in order to acquire the doctoral degree in philosophy
at the Faculty of Humanities of the Georg-August-Universität Göttingen

submitted by

.....

from (Place of birth)

Göttingen (Year submitted)

Appendix V Template for the revision certificate (re. § 24 section 2 sentence 3)

Revision Certificate

The manuscript of the thesis by
Mr/Ms
with the original title
was submitted to me.

I agree to the change in title
to

The conditions are satisfied. I have no objections to printing in the version in my possession.

....., the
(Place) (Date) (Signature of the first reviewer)

The signed revision certificate must be submitted together with the obligatory copies and the original thesis to the Dean's Office in the Faculty of Humanities. The doctoral certificate will thereupon be issued.

* Please delete where inapplicable

Appendix VI Template for the doctoral certificate (re. § 25 section 1 sentence 1)

1. German language

Die Georg-August-Universität Göttingen
unter der Präsidentin/dem Präsidenten
Professorin/Professor Dr.
verleiht
durch die Philosophische Fakultät
unter der Dekanin/dem Dekan
Professorin/Professor Dr.
Frau/Herrn
geboren am..... in
den Grad einer Doktorin/eines Doktors der Philosophie (Dr. phil.) /
den Grad „Doctor of Philosophy“ (Ph.D.),
nachdem sie/er in einem ordnungsgemäßen Promotionsverfahren
[im Rahmen des Promotionsstudiengangs/Promotionsprogramms]
durch die mit beurteilte Dissertation
..... (Thema)
in dem Fach
sowie durch die mitam bestandene mündliche Prüfung
(Rigorosum in den Fächern/Disputation)
ihre/seine wissenschaftliche Befähigung erwiesen hat.

Göttingen, den

(Siegel der Universität)

.....
Die Dekanin/Der Dekan

2. English language (for oral defence)

The Georg-August-Universität Göttingen
under the presidency of Professor Dr.
through the Faculty of Humanities
under the deanship of Professor Dr.

confers upon

Ms/Mrs/Mr
born on in
the degree "Doctor of Philosophy" (Ph.D.) /
the degree "Doktorin/Doktor der Philosophie" (Dr. phil.).

She/he proved her/his academic qualification in the subject ...
according to the regulations of the doctoral (degree) programme [if required, name of the
doctoral programme/doctoral degree programme] by submitting her/his doctoral thesis
with the title
.....,
for which she/he was awarded the grade ...,
and by successfully completing the oral thesis defence (disputation) on
for which she/he was awarded the grade

Göttingen, ...
(Seal of the University)
Dean of the Faculty

3. English language (for doctoral viva)

The Georg-August-Universität Göttingen
under the presidency of Professor Dr.
through the Faculty of Humanities
under the deanship of Professor Dr.

confers upon

Ms/Mrs/Mr
born on in
the degree "Doctor of Philosophy" (Ph.D.) /
the degree "Doktorin/Doktor der Philosophie" (Dr. phil.).

She/he proved her/his academic qualification in the subject
according to the regulations of the doctoral (degree) programme [if required, name of the
doctoral programme/doctoral degree programme] by submitting her/his doctoral thesis
with the title
.....,
for which she/he was awarded the grade ...,
and by successfully completing the doctoral viva (Rigorosum) in the subjects ... on
for which she/he was awarded the grade

Göttingen, ...
(Seal of the University)
Dean of the Faculty

4. Latin (for oral defence)

VNIVERSITAS GEORGIA AVGVSTA GOTTINGENSIS

PRAESIDE MAGNIFICA / MAGNIFICO

[TITEL, NAME PRESIDENT]

AMPLISSIMO ORDINE PHILOSOPHICO

DECANA / DECANO

[TITEL, NAME DEAN]

FEMINAM HONESTISSIMAM / VIRVM HONESTISSIMVM

[EXAMINEE]

NATAM /-VM DIE ### MENSIS ### ANNI #####

DOCTOREM PHILOSOPHIAE (DR. PHIL. / PH.D.)

CREAVIT INQVE HVIVS GRADVS HONOREM EVEXIT

POSTQVAM LEGITIMO PROMOVENDI RITV

IN DISCIPLINA [SUBJECT]

PER DISSERTATIONEM

[THESIS TITLE]

OPVS (SVMME) / (VALDE) LAVDABILE / RITE CONFECTVM IVDICATAM
PERQVE DISPVTATIONEM VIVA VOCE DIE ### MENSIS ### ANNI #####

(SVMMA) / (MAGNA) CVM LAVDE / RITE SVPERATAM

SE AD RES DOCTAS SCIENTIFICE TRACTANDAS APTAM / APTVM ESSE
DEMONSTRAVIT

GOTTINGAE DIE ### MENSIS ### ANNI #####

(Seal of the University)

DECANA / DECANVS

5. Latin (for doctoral viva)

VNIVERSITAS GEORGIA AVGVSTA GOTTINGENSIS

PRAESIDE MAGNIFICA / MAGNIFICO

[TITEL, PRESIDENT]

AMPLISSIMO ORDINE PHILOSOPHICO

DECANA / DECANO

[TITEL, DEAN]

FEMINAM HONESTISSIMAM / VIRVM HONESTISSIMVM

[NAME]

NATAM /-VM DIE ### MENSIS ### ANNI #####

DOCTOREM PHILOSOPHIAE (DR. PHIL. / PH.D.)

CREAVIT INQVE HVIVS GRADVS HONOREM EVEXIT

POSTQVAM LEGITIMO PROMOVENDI RITV

PER THESISSEM

[THESIS TITLE]

IN DISCIPLINA [FACH]

OPVS (SVMME) / (VALDE) LAVDABILE / RITE CONFECTVM IVDICATAM

PERQVE EXAMEN RIGOROSVM VIVA VOCE DIE ### MENSIS ### ANNI #####

IN DISCIPLINIS [FACH] ET [FACH]

(SVMMA) / (MAGNA) CVM LAVDE / RITE SVPERATVM

SE AD RES DOCTAS SCIENTIFICE TRACTANDAS APTAM / APTVM ESSE

DEMONSTRAVIT

GOTTINGAE DIE ### MENSIS ### ANNI #####

(Seal of the University)

DECANA / DECANVS